

Certifying Effort

Introduction

Welcome to the Certifying Effort module. In this module you will learn how to use the online Effort Reporting System (ERS) to review and certify effort. Certifying effort using ERS involves the following activities:

- Reviewing the Effort Report for accuracy.
- Editing the report if the effort shown does not reflect actual effort expended.
- Certifying the report.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> 🔇	25 %	0%	0 %	25%	25%				
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%				
		ADD ADDITIONAL SPONSORED PROJECT								
Total Sponsored Projects requiring certification	82%	82% 82% 0% 82%								
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%				
Non-Sponsored Activities	<u>18%</u> Q	18 %		- 0 %	18%	18%				
Total Other Effort not requiring certification	18%	18%		0%	18%	18%				
Grand Total	100%	100%		0%	100%	100%				
					JPDATE CAL	CULATION				
Report Options :			iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.					
Add a comment:						< >				
	Comments	can be up to 2	55 characters long. (Total characters: 0)						
VIEW PAYROLL DETAILS			R	EVERT SAVE	CERTIFY EFFO	DRT REPORT				
copyright 2005 - 2006, The Regents of	f the Universit	ly of California	, All rights reserved.							

Step 1: Reviewing the Effort Report for Accuracy

It is the responsibility of the certifier to ensure that the total percent of effort for each sponsored project, including cost sharing, and the amount directly charged to the fund is correct.

Step 1: Reviewing the Effort Report for Accuracy (cont'd)

To ensure that the total percent of effort and amount charged to the fund is correct, review the **Original Payroll %** and Original Cost Shared % columns on the effort report for each sponsored project.

	HE ENVIRON	MENT			
Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjust Total
<u>50%</u> 🔇	50 %	0%	0 %	50%	50%
<u>25%</u> 🕲	25 %	0%	0 %	25%	25%
<u>7%</u> Q	7 %	0%	0 %	7%	7%
			ADD ADDITIONAL	SPONSORED	PROJEC
82%	82%		0%	82%	82%
<u>0%</u> 🕲	0 %		+ 0 %	0%	0%
<u>18%</u> Q	18 %		- 0 %	18%	18%
18%	18%		0%	18%	18%
100%	100%		0%	100%	100
				JPDATE CAL	CULATIO
	TITUTE OF T is: Open rch 31, 2005 31, 2005 Original Payroll % 50% @ 25% @ 7% @ 82% 0% @ 18% @	Adjusted Payroll % Griginal Payroll % Adjusted Payroll % 50% @ 50 % 25% @ 25 % 25% @ 7 % 7% @ 7 % 82% 82% 0% @ 0 % 18% @ 18 %	Adjusted Payroll % Original Original Payroll % Original Cost Sharing % 50% © 50 % 0% 50% © 50 % 0% 25% © 25 % 0% 25% © 7 % 0% 7% © 7 % 0% 82% 82% 0% 18% © 18 % 1	TITUTE OF THE ENVIRONMENT is: Open rch 31, 2005 31, 2005 Original Payroll % Adjusted Cost Sharing % Adjusted Cost Sharing % 50% Q 50 % 0% 0 % 50% Q 50 % 0% 0 % 25% Q 25 % 0% 0 % 25% Q 25 % 0% 0 % 7 % 0% 0 % 0 % 7 % 0% 0 % 0 % 82% 82% 0% 0% 18% 18 % -0 % 0% 18% 18% 0% 0%	Adjusted Payroll % Original Cost Sharing % Adjusted Cost Sharing % Original Total % 50% © 50 % 0 % 0 % 0 % 50% 50% © 50 % 0 % 0 % 50% 50% 25% © 25 % 0 % 0 % 25% 7% © 7 % 0 % 0 % 25% 7% © 7 % 0 % 0 % 7% 82% 82% 0 % 0 % 82% 18% © 18 % -0 % 18% 18%

Step 1: Reviewing the Effort Report for Accuracy (cont'd)

If either the **Original Payroll** % or the **Original Cost Shared** % are incomplete or incorrect, the Effort Report must be edited before it can be certified.

Step 2: Editing the Effort Report

View/History

Edit Report

Correct incomplete or incorrect information on the Effort Report by entering new data into the appropriate field in one or both columns titled:

- Adjusted Payroll
 %
- Adjusted Cost Shared %

Emp. ID: 638637770 | Home Dept: INSTITUTE OF THE ENVIRONMENT Version: 1.0 (02/03/2006 12:11) | Status: Open Service Period: January 1, 2005 to March 31, 2005 Pay Periods: January 1, 2005 to March 31, 2005

Comment Log

Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %		
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	50 %	0% 0% 50% 50%					
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%		
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%		
			ADD ADDITIONAL SPONSORED PROJECT					
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%		
Other Sponsored Projects	<u>0%</u> Q	0 %		+ 0 %	0%	0%		
Non-Sponsored Activities	<u>18%</u> 🕲	18 %		- 0 %	18%	18%		
Total Other Effort not requiring certification	18%	18%		0%	18%	18%		
Grand Total	100%	100%		0%	100%	100%		
					JPDATE CAL	CULATION		
Report Options :	Report r	requires multi	ple certifications					

Step 2: Editing the Effort Report

Note that Adjusted Payroll % and Adjusted Cost Sharing % already contain a percent of effort that corresponds to each sponsored project.

That is because when ERS generates the report, it pre-fills all fields in these columns with the corresponding numbers from the Original Payroll % and the Original Cost Shared % fields. Emp. ID: 638637770 | Home Dept: INSTITUTE OF THE ENVIRONMENT Version: 1.0 (02/03/2006 12:11) | Status: Open Service Period: January 1, 2005 to March 31, 2005 Pay Periods: January 1, 2005 to March 31, 2005

Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Original Adjust Cost Sharing % Total % Total			
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	50 %	0%	0 %	50%	50%	
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u>	25 %	0%	0 %	25%	25%	
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> @	7 %	0%	0 %	7%	7%	
	ADD ADDITIONAL SPONSORED PROJ						
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%	
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%	
Non-Sponsored Activities	<u>18%</u> Q	18 %		- 0 %	18%	18%	
Total Other Effort not requiring certification	18%	18%		0%	18%	18%	
Grand Total	100%	100%		0%	100%	100%	
				L	IPDATE CAL	CULATION	
Report Options :	Report	requires multi	ple certifications.				

If you determine that the **Original Payroll** % of effort is incomplete or incorrect as shown, simply:

- Locate the field in the Adjusted Payroll % column that corresponds to the correct sponsored project;
- Position your cursor over the pre-generated percent amount;
- Type in the correct percent using whole percents;
- Repeat as necessary for each sponsored project.

Effort Reporting System			User: GRAGYRL	J DONN (333520644) ¿	Account Settin	nas <u>Sian-Out</u>
 Return to Report List 						
MEGOAL, INTYNEY HYRICEY WINTER O	4/05 11/12 Ac	ADEMICS	[Export Report Send I	Report Prin	t Report
Edit Report View/History Co	mment Log					
Emp. ID: 638637770 Home Dept: IN8 Version: 1.0 (02/03/2006 12:11) Stat Service Period: January 1, 2005 to Marc Pay Periods: January 1, 2005 to Marc	tus: Open arch 31, 2005	HE ENVIRON	MENT			
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	5₫%	0%	0 %	50%	50%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> 🔇	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	PROJECT
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%
Other Sponsored Projects	<u>0%</u> Q	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> 🕲	18 %		- 0 %	18%	18%
Total Other Effort	18%	18%		0%	18%	18%

If you determine that the **Original Cost Sharing %** is incomplete or incorrect as shown, simply:

- Locate the field in the Adjusted Cost Sharing % column that corresponds to the correct sponsored project;
- Position your cursor over the pre-generated percent amount;
- Type in the correct percent;
- Repeat as necessary for each sponsored project.

Effort Reporting System			User: GRAGYRL	J DONN (333520644) <u>4</u>	Account Settin	n <u>as Sign-Out</u>
 Return to Report List 						
MEGOAL, INTYNEY HYRICEY WINTER O	4/05 11/12 Ac	ADEMICS		Export Report Send I	Report Prin	t Report ?
Edit Report View/History Co	mment Log					
Emp. ID: 638637770 Home Dept: INS Version: 1.0 (02/03/2006 12:11) Stat Service Period: January 1, 2005 to March Pay Periods: January 1, 2005 to March	us: Open arch 31, 2005	HE ENVIRON	MENT			
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> (2)	70 %	0%	0[_%	50%	70%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	PROJECT
Total Sponsored Projects requiring certification	82%	102%		0%	82%	102%
Other Sponsored Projects	<u>0%</u> Q	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> (2)	18 %		- 0 %	18%	18%
Total Other Effort	18%	18%		0%	18%	18%

When you replace data in the pre-filled fields, it is necessary for ERS to recalculate the total percent. You can prompt ERS to recalculate in one of two ways:

 Click on the Update Calculation button
 Click anywhere on the report

Total percent of effort must always be 100%.

Emp. ID: 638637770 Home Dept: INS Version: 1.0 (02/03/2006 12:11) Stat Service Period: January 1, 2005 to Ma Pay Periods: January 1, 2005 to Marci	us: Open arch 31, 2005	HE ENVIRON	MENT			
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> 🕲	70 %	0%	5 %	50%	75%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORED	PROJECT
Total Sponsored Projects requiring certification	82%	102%		5%	82%	107%
Other Sponsored Projects	<u>0%</u> Q	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> 🕲	18 %		- 5 %	18%	13%
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%
Grand Total	100%	120%		0%	100%	120%

In this example, the recalculated total percent of effort is 120%. Notice that ERS highlights this 'out-of-balance' situation in red.

ERS will not allow you to certify an Effort Report where effort is less than or greater than 100%.

Determine which percents are incorrect and make the appropriate entries to ensure that total effort is 100%.

Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %			
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	70 %	0%	5 %	50%	75%			
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 Ţ %	0%	0 %	25%	25%			
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7 %	0%	0 %	7%	7%			
		ADD ADDITIONAL SPONSORED PROJECT							
Total Sponsored Projects requiring certification	82%	102%		5%	82%	107%			
Other Sponsored Projects	<u>0%</u> 🕲	0 %		+ 0 %	0%	0%			
Non-Sponsored Activities	<u>18%</u> Q	18 %		- 5 %	18%	13%			
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%			
Grand Total	100%	120%		0%	100%	120%			
					JPDATE CAL	CULATION			
Report Options :		-	ple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.				
Add a comment:						~			
						~			

Whenever you change the pre-filled data in the **Adjusted Payroll %** field, ERS requires an explanation.

Type a brief explanation in the **Comments Box**.

ERS maintains a list of comments made over time that can be viewed by clicking on the **Comments** tab.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	5 %	0%	0 %	25%	5%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> (2)	7 %	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORED	PROJECT
Total Sponsored Projects requiring certification	82%	82%		5%	82%	87%
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> Q	18 %		_ 5 %	18%	13%
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%
Grand Total	100%	100%		0%	100%	100%
					JPDATE CAL	CULATION
Report Options :			iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.	
Add a comment:						< >
	Comments	can be up to 2	255 characters long. ((Total characters: 0)		
VIEW PAYROLL DETAILS			R	EVERT	CERTIFY EFF	DRT REPORT
convight 2005 - 2006 The Regents of	f tha Linivareit	Mof California	All righte received			

Once all correct percentages are entered into the correct fields and you have provided an explanation for the change in the **Comment** box, you are ready to save your changes.

To save your edited Effort Report, click on the **Save** button.

If you have already entered an explanation in the **Comment** box, ERS will save the edited Effort Report.

After the save, the report is assigned a new version number.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	5 %	0%	0 %	25%	5%		
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> 🕲	7 %	0%	0 %	7%	7%		
	ADD ADDITIONAL SPONSORED PROJECT							
Total Sponsored Projects requiring certification	82%	82%		5%	82%	87%		
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%		
Non-Sponsored Activities	<u>18%</u> Q	18 %		_ 5 %	18%	13%		
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%		
Grand Total	100%	100%		0%	100%	100%		
					IPDATE CAL	CULATION		
Report Options :			iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.			
Add a comment:	Correcte	d percent	. Transfer of e	expense proces:	sed.	< >		
	Comments	can be up to 2	55 characters long. ((Total characters: 49))			
VIEW PAYROLL DETAILS			R	EVERT SALE	CERTIFY EFF	ORT REPORT		
copyright 2005 - 2006. The Regents of	f the Liniversi	w of California	All rights reserved					

If you have not already entered an explanation in the **Comment** box, and you have changed the payroll %, ERS prompts you to enter a comment before the report is saved.

In this example, ERS generates an error message indicating that a comment is required.

Simply enter your explanation in the **Comment** box, and click the **Save** button again.

Step 2: Editing the Effort Report (cont'd)

The report is now saved and ready for certification.

Step 3: Certifying the Report

You are now ready to certify the Effort Report.

Click Certify

				1					
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> (2)	5 %	0%	0 %	25%	5%			
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> 🕲	7%	0%	0 %	7%	7%			
		ADD ADDITIONAL SPONSORED PROJEC							
Total Sponsored Projects requiring certification	82%	82%		5%	82%	87%			
Other Sponsored Projects	<u>0%</u>	0 %		+ 0 %	0%	0%			
Non-Sponsored Activities	<u>18%</u> Q	18 %		_ 5 %	18%	13%			
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%			
Grand Total	100%	100%		0%	100%	100%			
					JPDATE CAL	CULATION			
Report Options :	- nopon		iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.				
Add a comment:						~			
	Comments	can be up to 2	55 characters long.	(Total characters: 0)		<u> </u>			
VIEW PAYROLL DETAILS	-		R	EVERT	CERTIFY EFF	DRT REPORT			
copyright 2005 - 2006. The Regents o	f the Universi	tv of California	All rights reserved						

Step 3: Certifying the Report (cont'd)

ERS responds with a prompt asking you to verify that you have first hand knowledge of the effort expended on the report that you are about to certify.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> @	5 %	0%	0	%	25%	5%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	7% (Certify ERS	7 % Report	0%	0	%	7%	7%
		icking CERTIFY,	nave	DITIONAL	SPONSORED	PROJECT	
Total Sponsored Projects requiring certification	on th	hand knowledge his report and tha		6	82%	87%	
Other Sponsored Projects	1000		onable estimate of g the period covere		%	0%	0%
Non-Sponsored Activities		report.		%	18%	13%	
Total Other Effort not requiring certification		u do not wish to r Cancel.	on,	6.	18%	13%	
Grand Total		CA	NCEL	FY		100%	100%
						UPDATE CAL	CULATION
Report Options :	- report	requires multiple allows for Cost S	e certifications. Sharing Offset Agai	nst Other S	ponsore	d Projects.	
Add a comment:							2
	Comments	can be up to 255	characters long. (1	Fotal chara	cters: 0)		1
VIEW PAYROLL DETAILS			RE	VERT S	AVE	CERTIFY EFFC	ORT REPOR

Step 3: Certifying the Report (cont'd)

If you are ready to certify the report click **Certify** in the dialogue box.

If you are not ready to certify the report, click **Cancel**.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	25% @	5 %	0%		0 %	25%	5%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	7% (Certify ER	7 % S Report	0%		0_%	7%	7%
	By	clicking CERTIF	DITIONAL	SPONSORED	PROJECT		
Total Sponsored Projects requiring certification	ont	t hand knowled this report and t	ort	40	82%	87%	
Other Sponsored Projects	distribution is a reasonable estimate of the effort expended during the period covered by				%	0%	0%
Non-Sponsored Activities		; report.		%	18%	13%	
Total Other Effort not requiring certification	1000	ou do not wish t k Cancel.	ation,	% <u>.</u>	18%	13%	
Grand Total			CANCEL	TIFY	6	100%	100%
						UPDATE CAL	CULATION
Report Options :	, trobot		ple certifications. st Sharing Offset Ag	ainst Other	Sponsore	d Projects.	
Add a comment:							2
	Comments can be up to 255 characters long. (Total characters: 0)						
VIEW PAYROLL DETAILS						CERTIFY EFFO	

Step 3: Certifying the Report (cont'd)

Return to your Report List.

If you certified the report, the Status now reads Certified.

Effort Reporting System User: GRAGYRU DONN (333520644) Account Settings Sign-Out									
EFFORT REPORT LIST									
Display Saved Search: My Projects 🖌 Manage Searches Create New									
Reporting Periods: 💿 All 🔘 Select: Winter 04/05 11/12 Academics 💌									
Report List for: My Projects		Export List	Send List Print List						
Period 🔻	For	Last Modified	<u>Status</u>						
Winter 04/05 11/12 Academics	AEGORAN FARNINDAZ ,IRINTZI (938610243)	02/06/2006 - v1.2	Open						
Winter 04/05 11/12 Academics	CLIRK,INDRAW (534334615)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	CYWGELL,BORTYN Y (733252545)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	KOHN,THYMIS (134894609)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	KRODUSZ,MIRGIRAT I (534356306)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	MEGOAL, INTYNEY HYRICEY (638637770)	02/06/2006 - v2.0	Certified/AdjustReqd						
Winter 04/05 11/12 Academics	MILDYNIDY, RETI RIQOAL (138660204)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	PARLMIN, DABYRIH G (034819561)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	SCHOSTAR, MIRK I (533593588)	02/03/2006 - v1.0	Open						
Winter 04/05 11/12 Academics	SON,DYNG (838615773)	02/03/2006 - v1.0	Open						
)isplay 20 🔽 reports per page		l é é displaving	1 to 10 (10 total) 🔿 📣						

Step 3: Certifying the Report (cont'd)

If you deferred certifying the report, the Status is unchanged.

Conclusion

This concludes the Certifying Effort module.

In module you learned how to:

- Review the Effort Report for accuracy;
- Edit the data reported for Original Payroll % and Original Cost Sharing %;
- Enter a comment
- Save a changed Effort Report; and,
- Certify the Effort Report.