

# **Certifying Effort**

#### Introduction

Welcome to the Certifying Effort module. In this module you will learn how to use the online Effort Reporting System (ERS) to review and certify effort. Certifying effort using ERS involves the following activities:

- Reviewing the Effort Report for accuracy.
- Editing the report if the effort shown does not reflect actual effort expended.
- Certifying the report.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> 🔇	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORED	PROJECT
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%
Other Sponsored Projects	<u>0%</u> 🕲	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> Q	18 %		- 0 %	18%	18%
Total Other Effort not requiring certification	18%	18%		0%	18%	18%
Grand Total	100%	100%		0%	100%	100%
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Report Options :	Report  Report	requires multi allows for Co:	iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.	
Add a comment:						< >
	Comments	can be up to 2	55 characters long. (	Total characters: 0)		
VIEW PAYROLL DETAILS			R	EVERT SAVE	CERTIFY EFFO	DRT REPORT
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#### **Step 1: Reviewing the Effort Report for Accuracy**

It is the responsibility of the certifier to ensure that the total percent of effort for each sponsored project, including cost sharing, and the amount directly charged to the fund is correct.

#### **Step 1: Reviewing the Effort Report for** Accuracy (cont'd)

To ensure that the total percent of effort and amount charged to the fund is correct, review the **Original Payroll %** and Original Cost Shared % columns on the effort report for each sponsored project.

Edit Report View/History Co	mment Loa					
Emp. ID: 638637770   Home Dept: INS Version: 1.0 (02/03/2006 12:11)   Stat Service Period: January 1, 2005 to Mar Pay Periods: January 1, 2005 to Marc	STITUTE OF T tus: Open arch 31, 2005 h 31, 2005	HE ENVIRON	MENT			
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjuster Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	50 %	0%	0 %	50%	50%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> (2)	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> 🕲	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	) PROJECT
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%
Other Sponsored Projects	<u>0%</u> 🕲	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> (2)	18 %		- 0 %	18%	18%
Total Other Effort not requiring certification	18%	18%		0%	18%	18%
Grand Total	100%	100%		0%	100%	100%
					UPDATE CAL	CULATION
Report Options :	D Benert	requiree mult	into contributions			

Report Options : C Report requires multiple certifications

#### **Step 1: Reviewing the Effort Report for Accuracy (cont'd)**

If either the **Original Payroll** % or the **Original Cost Shared** % are incomplete or incorrect, the Effort Report must be edited before it can be certified.

# **Step 2: Editing the Effort Report**

View/History

Edit Report

Correct incomplete or incorrect information on the Effort Report by entering new data into the appropriate field in one or both columns titled:

- Adjusted Payroll
  %
- Adjusted Cost Shared %

Emp. ID: 638637770 | Home Dept: INSTITUTE OF THE ENVIRONMENT Version: 1.0 (02/03/2006 12:11) | Status: Open Service Period: January 1, 2005 to March 31, 2005 Pay Periods: January 1, 2005 to March 31, 2005

Comment Log

Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %	
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	50 %	0%	0 %	50%	50%	
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%	
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%	
			ADD ADDITIONAL SPONSORED PROJECT				
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%	
Other Sponsored Projects	<u>0%</u> Q	0 %		+ 0 %	0%	0%	
Non-Sponsored Activities	<u>18%</u>	18 %		- 0 %	18%	18%	
Total Other Effort not requiring certification	18%	18%		0%	18%	18%	
Grand Total	100%	100%		0%	100%	100%	
					IPDATE CAL	CULATION	
Report Options :	C Report	requires multi	nle certifications				

# **Step 2: Editing the Effort Report**

Note that Adjusted Payroll % and Adjusted Cost Sharing % already contain a percent of effort that corresponds to each sponsored project.

That is because when ERS generates the report, it pre-fills all fields in these columns with the corresponding numbers from the Original Payroll % and the Original Cost Shared % fields. Emp. ID: 638637770 | Home Dept: INSTITUTE OF THE ENVIRONMENT Version: 1.0 (02/03/2006 12:11) | Status: Open Service Period: January 1, 2005 to March 31, 2005 Pay Periods: January 1, 2005 to March 31, 2005

Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %		
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	50 %	0%	0 %	50%	50%		
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> 🔇	25 %	0%	0 %	25%	25%		
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> @	7 %	0%	0 %	7%	7%		
		ADD ADDITIONAL SPONSORED PROJE						
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%		
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%		
Non-Sponsored Activities	<u>18%</u> 🕲	18 %		- 0 %	18%	18%		
Total Other Effort not requiring certification	18%	18%		0%	18%	18%		
Grand Total	100%	100%		0%	100%	100%		
					JPDATE CAL	CULATION		
Report Options :	Report	requires multi	ple certifications.					

If you determine that the **Original Payroll** % of effort is incomplete or incorrect as shown, simply:

- Locate the field in the Adjusted Payroll % column that corresponds to the correct sponsored project;
- Position your cursor over the pre-generated percent amount;
- Type in the correct percent using whole percents;
- Repeat as necessary for each sponsored project.

Effort Reporting System			User: GRAGYRL	DONN (333520644)   ¿	Account Settin	<u>as   Sian-Out</u>
Return to Report List						
MEGOAL, INTYNEY HYRICEY   WINTER 04	4/05 11/12 Ao	ADEMICS	[	Export Report Send R	Report Prin	t Report ?
Edit Report View/History Co	mment Log					
Emp. ID: 638637770   Home Dept: INS Version: 1.0 (02/03/2006 12:11)   State Service Period: January 1, 2005 to March Pay Periods: January 1, 2005 to March	TITUTE OF T us: Open rch 31, 2005 1 31, 2005	HE ENVIRONI	MENT			
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	<u>5</u> ¶%	0%	0 %	50%	50%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> 🕲	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	PROJECT
Total Sponsored Projects requiring certification	82%	82%		0%	82%	82%
Other Sponsored Projects	<u>0%</u> 🕲	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u>	18 %		- 0 %	18%	18%
Total Other Effort	18%	18%		0%	18%	18%

If you determine that the **Original Cost Sharing %** is incomplete or incorrect as shown, simply:

- Locate the field in the Adjusted Cost Sharing % column that corresponds to the correct sponsored project;
- Position your cursor over the pre-generated percent amount;
- Type in the correct percent;
- Repeat as necessary for each sponsored project.

Effort Reporting System			User: GRAGYRL	DONN (333520644)   4	Account Settin	n <u>as   Sian-Out</u>			
◆ <u>Return to Report List</u>									
MEGOAL, INTYNEY HYRICEY   WINTER O	4/05 11/12 A d	ADEMICS		Export Report Send	Report Prin	t Report ?			
Edit Report View/History Co	mment Log								
Emp. ID: 638637770   Home Dept: INS Version: 1.0 (02/03/2006 12:11)   Stat Service Period: January 1, 2005 to Marc Pay Periods: January 1, 2005 to Marc	STITUTE OF T us: Open arch 31, 2005 h 31, 2005	HE ENVIRON	MENT						
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %			
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	70 %	0%	0[_%	50%	70%			
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%			
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%			
		ADD ADDITIONAL SPONSORED PROJECT							
Total Sponsored Projects requiring certification	82%	102%		0%	82%	102%			
Other Sponsored Projects	<u>0%</u> (2)	0 %		+ 0 %	0%	0%			
Non-Sponsored Activities	<u>18%</u> Q	18 %		- 0 %	18%	18%			
Total Other Effort	18%	18%		0%	18%	18%			

When you replace data in the pre-filled fields, it is necessary for ERS to recalculate the total percent. You can prompt ERS to recalculate in one of two ways:

 Click on the Update Calculation button
 Click anywhere on the report

Total percent of effort must always be 100%.

Edit Report View/History Co Emp. ID: 638637770   Home Dept: INS Version: 1.0 (02/03/2006 12:11)   Stat Service Period: January 1, 2005 to March Pay Periods: January 1, 2005 to March	mment Log STITUTE OF TI us: Open Irch 31, 2005 n 31, 2005	HE ENVIRON	MENT			
Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	70 %	0%	5 %	50%	75%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	25 %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7%	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	PROJECT
Total Sponsored Projects requiring certification	82%	102%		5%	82%	107%
Other Sponsored Projects	<u>0%</u> Q	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> 🕲	18 %		- 5 %	18%	13%
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%
Grand Total	100%	120%		0%	100%	120%

In this example, the recalculated total percent of effort is 120%. Notice that ERS highlights this 'out-of-balance' situation in red.

ERS will not allow you to certify an Effort Report where effort is less than or greater than 100%.

Determine which percents are incorrect and make the appropriate entries to ensure that total effort is 100%.

Sponsored Projects	Original Payroll %	Adjusted Payroll %	Original Cost Sharing %	Adjusted Cost Sharing %	Original Total %	Adjusted Total %
EPA R82735201 FROINES 05/06 53.50% 4-447711/AM-22863	<u>50%</u> Q	70 %	0%	5 %	50%	75%
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u>	25 Ţ %	0%	0 %	25%	25%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> Q	7 %	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	PROJECT
Total Sponsored Projects requiring certification	82%	102%		5%	82%	107%
Other Sponsored Projects	<u>0%</u> 🕲	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> 🕲	18 %		- 5 %	18%	13%
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%
Grand Total	100%	120%		0%	100%	120%
					JPDATE CAL	CULATION
Report Options :	□ Report □ Report	requires multi allows for Co:	iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.	
Add a comment:						~
						~

Whenever you change the pre-filled data in the **Adjusted Payroll %** field, ERS requires an explanation.

Type a brief explanation in the **Comments Box**.

ERS maintains a list of comments made over time that can be viewed by clicking on the **Comments** tab.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	5 %	0%	0 %	25%	5%		
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> (2)	7 %	0%	0 %	7%	7%		
	ADD ADDITIONAL SPONSORED PROJECT							
Total Sponsored Projects requiring certification	82%	82%		5%	82%	87%		
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%		
Non-Sponsored Activities	<u>18%</u> Q	18 %		_ 5 %	18%	13%		
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%		
Grand Total	100%	100%		0%	100%	100%		
					JPDATE CAL	CULATION		
Report Options :	Report  Report	requires mult allows for Co:	iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.			
Add a comment:						< >		
	Comments	can be up to 2	255 characters long. (	(Total characters: 0)				
VIEW PAYROLL DETAILS			R	EVERT	CERTIFY EFF	DRT REPORT		
convright 2005 - 2006. The Regents of	f tha Linivareit	Mof California	All righte received					

Once all correct percentages are entered into the correct fields and you have provided an explanation for the change in the **Comment** box, you are ready to save your changes.

To save your edited Effort Report, click on the **Save** button.

If you have already entered an explanation in the **Comment** box, ERS will save the edited Effort Report.

After the save, the report is assigned a new version number.

EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> Q	5 %	0%	0 %	25%	5%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> 🔇	7 %	0%	0 %	7%	7%
				ADD ADDITIONAL	SPONSORE	PROJECT
Total Sponsored Projects requiring certification	82%	82%		5%	82%	87%
Other Sponsored Projects	<u>0%</u> 🔇	0 %		+ 0 %	0%	0%
Non-Sponsored Activities	<u>18%</u> Q	18 %		_ 5 %	18%	13%
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%
Grand Total	100%	100%		0%	100%	100%
					IPDATE CAL	CULATION
Report Options :	Report  Report	requires mult allows for Co:	iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.	
Add a comment:	Correcte	d percent	. Transfer of e	expense proces:	sed.	< >
	Comments	can be up to 2	55 characters long. (	(Total characters: 49)	)	
VIEW PAYROLL DETAILS			R	EVERT SALE	CERTIFY EFF	ORT REPORT
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If you have not already entered an explanation in the **Comment** box, and you have changed the payroll %, ERS prompts you to enter a comment before the report is saved.

In this example, ERS generates an error message indicating that a comment is required.

Simply enter your explanation in the **Comment** box, and click the **Save** button again.

#### Step 2: Editing the Effort Report (cont'd)

The report is now saved and ready for certification.

# **Step 3: Certifying the Report**

You are now ready to certify the Effort Report.

Click Certify

				1				
EPA CR82805901 FROINES 12/06 53.5% 4-447711/AM-22855	<u>25%</u> (2)	5 %	0%	0 %	25%	5%		
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	<u>7%</u> 🕲	7 %	0%	0 %	7%	7%		
		ADD ADDITIONAL SPONSORED PROJECT						
Total Sponsored Projects requiring certification	82%	82%		5%	82%	87%		
Other Sponsored Projects	<u>0%</u>	0 %		+ 0 %	0%	0%		
Non-Sponsored Activities	<u>18%</u> @	18 %		_ 5 %	18%	13%		
Total Other Effort not requiring certification	18%	18%		-5%	18%	13%		
Grand Total	100%	100%		0%	100%	100%		
					JPDATE CAL	CULATION		
Report Options :	C Report	requires mult allows for Co:	iple certifications. st Sharing Offset Aga	inst Other Sponsore	d Projects.			
Add a comment:						~		
	Comments	can be up to 2	55 characters long.	(Total characters: 0)		<u> </u>		
VIEW PAYROLL DETAILS	-		R	EVERT	CERTIFY EFF	DRT REPORT		
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# **Step 3: Certifying the Report (cont'd)**

ERS responds with a prompt asking you to verify that you have first hand knowledge of the effort expended on the report that you are about to certify.

53.5% 4-447711/AM-22855	25% @	5 %	0%	0	%	25%	5%
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	7% (Certify ERS R	7 %	0%	0	%	7%	7%
	By clic	king CERTIFY,	you certify that you	have	DITIONAL	SPONSORED	PROJECT
Total Sponsored Projects requiring certification	on this	nd knowledge report and tha	of the activity reflec t the certified effort	ted	6	82%	87%
Other Sponsored Projects	effort e	opended durin	g the period covere	ine id by	%	0%	0%
Non-Sponsored Activities	this re	port.		%	18%	13%	
Total Other Effort not requiring certification	lf you o click C	ancel.	on,	Ma	18%	13%	
Grand Total		CA	FY	6	100%	100%	
						UPDATE CAL	CULATION
Report Options :	F Report re	quires multiple lows for Cost S	certifications. Charing Offset Again	nst Other S	Sponsore	d Projects.	
Add a comment:							1
	Comments ca	in be up to 255	characters long. (1	Fotal chara	acters: O)		10
VIEW PAYROLL DETAILS			RE	VERT	SAVE	CERTIFY EFFC	RT REPOR

# Step 3: Certifying the Report (cont'd)

If you are ready to certify the report click **Certify** in the dialogue box.

If you are not ready to certify the report, click **Cancel**.

53.5% 4-447711/AM-22855	25% (2) 5 %	0%	0 %	25%	5%	
USC/NIH H21993A HINDS 3/05 53.5% 4-447711/AM-57852	7% (C) 7 %	0%	0 %	7%	7%	
	By clicking CERTIFY, you	DITIONAL	SPONSORED	PROJECT		
Total Sponsored Projects requiring certification	first hand knowledge of th on this report and that the	6	82%	87%		
Other Sponsored Projects	effort expended during the	%	0%	0%		
Non-Sponsored Activities	this report.	%	18%	13%		
Total Other Effort not requiring certification	If you do not wish to make click Cancel.	86	18%	13%		
Grand Total	CANCE	CERTIFY	6	100%	100%	
				UPDATE CAL	CULATION	
Report Options :	□ Report requires multiple cer □ Report allows for Cost Shari	tifications. ng Offset Against Oth	er Sponsore	d Projects.		
Add a comment:					1	
	Comments can be up to 255 characters long. (Total characters: 0)					
			1			

# Step 3: Certifying the Report (cont'd)

Return to your Report List.

If you certified the report, the Status now reads Certified.

Effort Reporting System	User: GRAGYRU DONI	N (333520644)   <u>Acc</u>	ount Settings   Sign-Out				
EFFORT REPORT LIST							
Display Saved Search: My Projects	Manage Searches						
Reporting Periods:   All   Select: Winter 04/05 11/12 Academics							
REPORT LIST FOR: MY PROJECTS Export List Send List Print List							
Period -	For	Last Modified	<u>Status</u>				
Winter 04/05 11/12 Academics	AEGORAN FARNINDAZ ,IRINTZI (938610243)	02/06/2006 - v1.2	Open				
Winter 04/05 11/12 Academics	CLIRK,INDRAW (534334615)	02/03/2006 - v1.0	Open				
Winter 04/05 11/12 Academics	CYWGELL,BORTYN Y (733252545)	02/03/2006 - v1.0	Open				
Winter 04/05 11/12 Academics	KOHN,THYMIS (134894609)	02/03/2006 - v1.0	Open				
Winter 04/05 11/12 Academics	KRODUSZ,MIRGIRAT I (534356306)	02/03/2006 - v1.0	Open				
🗌 📝 Winter 04/05 11/12 Academics	MEGOAL, INTYNEY HYRICEY (638637770)	02/06/2006 - v2.0	Certified/AdjustReqd				
Winter 04/05 11/12 Academics	MILDYNIDY, RETI RIQOAL (138660204)	02/03/2006 - v1.0	Open				
Winter 04/05 11/12 Academics	PARLMIN, DABYRIH G (034819561)	02/03/2006 - v1.0	Open				
Winter 04/05 11/12 Academics	SCHOSTAR, MIRK I (533593588)	02/03/2006 - v1.0	Open				
Winter 04/05 11/12 Academics	SON,DYNG (838615773)	02/03/2006 - v1.0	Open				
Display 20 🔽 reports per page		l 🕈 🕈 displaying	1 to 10 (10 total) 🗢 📲				

### **Step 3: Certifying the Report** (cont'd)

If you deferred certifying the report, the Status is unchanged.

#### Conclusion

This concludes the Certifying Effort module.

In module you learned how to:

- Review the Effort Report for accuracy;
- Edit the data reported for Original Payroll % and Original Cost Sharing %;
- Enter a comment
- Save a changed Effort Report; and,
- Certify the Effort Report.